

AO 120 (Rev. 3/04)

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| TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
 filed in the U.S. District Court EDPA on the following ☐ Patents or ☒ Trademarks:

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|---|--------------------------------|---|
| DOCKET NO. 13-1616 | DATE FILED 3/27/13 | U.S. DISTRICT COURT EDPA Clerk's Office Room 2609, 601 Market St. Philadelphia, PA 19106 |
| PLAINTIFF THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA | | DEFENDANT WHARTON BUSINESS FOUNDATION |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 1892916 | 5/9/95 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 2 1789592 | 8/24/93 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 3 3449956 | 6/17/08 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 4 3165806 | 10/31/06 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 3081856 | 4/18/06 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 2845089 | 5/25/04 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 4064558 | 11/29/11 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |
| 5 3091404 | 5/9/06 | THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| | | |
|----------------------------|---|-------------------------------|
| DATE INCLUDED | INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading | |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 4 | | |
| 5 | | |

In the above—entitled case, the following decision has been rendered or judgement issued:

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| DECISION/JUDGEMENT |
|--------------------|

| | | |
|-------|-------------------|------|
| CLERK | (BY) DEPUTY CLERK | DATE |
|-------|-------------------|------|

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy